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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/729,478	12/05/2003	Sivaram Balasubramanian	99AB083-A	3088
63122 7590 02/26/2009 ROCKWELL AUTOMATION, INC./BF ATTENTION: SUSAN M. DONAHUE, E-7F19			EXAMINER	
			ZHE, MENG YAO	
1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			ART UNIT	PAPER NUMBER
			2195	
			MAIL DATE	DELIVERY MODE
			02/26/2009	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
000	10/729,478	BALASUBRAMANIAN, SIVARAM			
Office Action Summary	Examiner	Art Unit			
	MENGYAO ZHE	2195			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).			
Status					
Responsive to communication(s) filed on 18 No.  2a) This action is FINAL. 2b) ☑ This  3) Since this application is in condition for allowant closed in accordance with the practice under E.	action is non-final. nce except for formal matters, pro				
Disposition of Claims					
4)  Claim(s) 1-30 is/are pending in the application. 4a) Of the above claim(s) 1-23 is/are withdrawn 5)  Claim(s) is/are allowed. 6)  Claim(s) 24-30 is/are rejected. 7)  Claim(s) is/are objected to. 8)  Claim(s) are subject to restriction and/or	from consideration.				
Application Papers					
9) The specification is objected to by the Examiner 10) The drawing(s) filed on <u>05 December 2003</u> is/an Applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction 11) The oath or declaration is objected to by the Examiner	re: a)⊠ accepted or b)□ objector drawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign  a) All b) Some * c) None of:  1. Certified copies of the priority documents  2. Certified copies of the priority documents  3. Copies of the certified copies of the prior  application from the International Bureau  * See the attached detailed Office action for a list of	s have been received. s have been received in Application ity documents have been received (PCT Rule 17.2(a)).	on No ed in this National Stage			
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 9/15/08, 9/29/04.	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	ate			

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## **DETAILED ACTION**

1. Claims 1-30 are presented for examination.

#### Election/Restrictions

- 2. Claims 1-23 are withdrawn from further consideration pursuant to 37 CFR
- 1.142(b) as being drawn to a nonelected invention. Election was made without traverse in the reply filed on 1/18/2008. The applicant is reminded to cancel claims 1-23 on the next reply to this office action.

# Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 24-30 rejected under 35 U.S.C. 102(b) as being anticipated by Smith et al., International Publication No. WO 98/42101 (hereafter Smith).
- 5. As per claim 24, Smith teaches a method of coordinating a new control application program with other control application programs being performed on a distributed real-time operating system (Pg 2, lines 1-6), wherein the distributed real-time

operating system is for use with a control system having spatially separated control hardware resources, the method comprising:

- (a) receiving the new control application program (Fig 2, unit 11; Pg 14, lines 22-27);
- (b) identifying control hardware resources from a resource list matching control hardware resources required by the new control application program (Table 1);
- (c) allocating portions of a constraint associated with the new control application program to each identified control hardware resource (Pg 18, lines 19-25);
- (d) determining whether the allocated portions of the constraint of the new control application program can be met while requirements of the other control application programs also are met (Pg 17, lines 20-27).
- 6. As per claim 25, Smith teaches wherein the constraint is a completion timing constraint (Pg 15, lines 19-21).
- 7. As per claim 26, Smith teaches collecting statistics regarding a usage of the control hardware resources as the new control application program and other control application programs are being performed; and optimizing the usage of the control hardware resources based at least in part upon the collected statistics (Pg 39, line 21-Pg 41, line 5).

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8. As per claim 27, Smith teaches a method of operating an application program on a distributed control system having a plurality of hardware resources, the method comprising:

receiving high-level requirements concerning the application program (Pg 14, lines 22-27);

determining low-level requirements based upon the high-level requirements (Pg 15, lines 15-24);

allocating at least one of the high-level requirements and the low-level requirements among at least some of the plurality of hardware resources (Pg 18, lines 19-25);

operating the application program in accordance with the allocated requirements (Pg 18, lines 19-25).

9. As per claim 28, Smith teaches wherein the high-level requirements include at least one of a hardware requirement, a completion-timing constraint, a message size, an inter-arrival period, a need for remote system services, and a type of priority (Pg 15, lines 19-21; Pg 41, line 20) and wherein the low-level requirements include at least one of an amount of memory, a network bandwidth, and a processor bandwidth (Tables 1, 2).

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10. As per claim 29, Smith teaches wherein the allocating of the low-level requirements includes allocating the low-level requirements to both a primary hardware resource and an implicit hardware resource (Pg 5, lines 2-7; Table 1: network connection bandwidth corresponds to primary hardware resource and microphone corresponds to implicit hardware resource).

11. As per claim 30, Smith teaches determining whether the allocated requirements are consistent with other allocated requirements associated with other application programs, prior to operating the application program (Pg 17, lines 20-27).

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MENGYAO ZHE whose telephone number is (571)272-6946. The examiner can normally be reached on Monday Through Friday, 7:30 - 5:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng-Ai An can be reached on 571-272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Meng-Ai An/ Supervisory Patent Examiner, Art Unit 2195